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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	DEA/056
First Inventor	Eckhard Alt
Title	Stent for Bifurcated Vess
Express Mail Label No.	ER 560 289 274 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

- ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 22]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]  
(*Informal*)
- Oath or Declaration [Total Sheets       ]
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ Paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: .....

Prior application information:

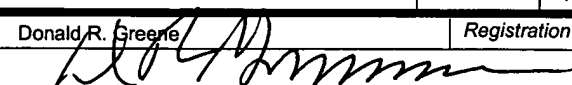
Examiner: .....

Art Unit: .....

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number:  OR ☒ Correspondence address below

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Name (Print/Type)	Donald R. Greene	Registration No. (Attorney/Agent)	22470		
Signature				Date	12/16/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: :  
ECKHARD ALT : Group Art Unit TBD  
Serial No. TBD : Examiner: TBD  
Filed: 12/16/2003 : Class TBD

Title: STENT FOR BIFURCATED VESSELS

**EXPRESS MAIL CERTIFICATE**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Label No. **ER 560 289 274 US**

Date of Deposit: December 16, 2003

I hereby certify that the following attached papers:

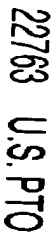
- (1) Transmittal Letter w/fee calculation (no fees submitted); and completed form PTO/SB/05 (Utility Application Transmittal);
- (2) Utility Application Data Sheet;
- (3) Specification (22 pages, including 24 claims, 7 independent);
- (4) Three sheets (eleven Figures) of informal drawings;
- (5) Postcard (stamped, addressed) for acknowledging receipt.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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Date: 12/16/2003  
DRG/DEACRTEX12163APPN.056



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	:	
	:	Group Art Unit TBD
ECKHARD ALT	:	
	:	Examiner: TBD
Serial No. TBD	:	
	:	
Filed: 12/16/2003	:	Class TBD

Title: STENT FOR BIFURCATED VESSELS

## **APPLICATION TRANSMITTAL AND FEE CALCULATION LETTER**

1. This application for a utility patent contains 22 pages of specification, including 24 claims (7 independent claims) and a 1 page abstract, plus 3 sheets (11 Figs) of informal drawing.
2. Also enclosed herewith is completed form PTO/SB/05 and a Utility Application Data Sheet. An executed Declaration, Power of Attorney, and Assignment remain to be filed.
3. Applicant claims small entity status. The filing fee is calculated as follows:

## CLAIMS AS FILED

	Number filed			Number extra		Rate (Small Entity)	Basic Fee
Total Claims	24	- 20	=	4	x	\$ 9.00	= 36.00
Indep Claims	7	- 3	=	4	x	\$43.00	= <u>172.00</u>
Filing Fee Calculation							<u>\$593.00</u>

4. No fees are being paid at this time.

HR Hamm

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Dated: 12/16/2003

Enclosures (as indicated)

DRG/DEAAPNXMLT12163.056